Course Introduction

This course is divided into three parts. First, there will be a discussion of democratization and the democratization processes. Second, there will be a discussion of developing governance in difference contexts, from Third World countries in the process of evolving into a democratic state to “failed” democracies to states attempting to reinstate democratic processes. Third, we will look at alternative governing arrangements, including authoritarianism and totalitarianism. Attention will be given to both the prerequisites for democratic development and their application and relevance in different national contexts.

Each student will be required to review a book from a list supplied by the instructor. The studies analyze aspects of democratic development or institution-building in various countries (these would include constitutions; parliaments; the comparative power of the executive; changes in the culture of systems; political parties; elections and electoral systems; etc). Reports on books will be given in class and the final typed copy of report will be due at the last class meeting. In delivering the reports in class, each student will be required to include for the other class members a short (1-2 page) outline of their report.

Requirements

1. Students should read the assigned readings prior to the class meeting to be prepared to discuss them in class.
2. There will be a midterm quiz on all materials (readings, class lectures and discussions) up to the point of the quiz.
3. A class report (see below).
4. A final exam covering all course materials will be two hours in length and will be given at the time scheduled by the university during finals’ week.
5. Class discussions, lectures, reports, videos, and the assigned readings will all be part of the class materials.
6. Additional assignments may be given in class.
7. All students are required to attend each class.
8. Class time will be given to developing a book review to be presented in class.

The course will focus in particular on the following:

a. The basic conditions that lead to the creation, consolidation and stability of the democratic state.
b. The institutions within a democratic state intended to serve as a linkage between mass publics and the political elites, insuring representativeness and accountability. Primarily these are elections and political parties.

c. The rise of anti-democratic states, namely authoritarian and totalitarian political systems, their operations, governing assumptions, value structure and the conditions that bring them about.

d. The consequences of empire and its demands and costs for the contemporary American system.

Each class will normally be divided into two parts. In the first I will discuss and at times lecture on the materials and issues relevant to the subject under analysis. In the second part, a discussion of the assigned readings will take place in which the students in the class are expected to be familiar with the materials and make an active and informed contribution to the discussion.

Grade Determination

<table>
<thead>
<tr>
<th>Component</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class Work</td>
<td>30%</td>
</tr>
<tr>
<td>Midterm</td>
<td>30%</td>
</tr>
<tr>
<td>Final Exam</td>
<td>40%</td>
</tr>
</tbody>
</table>

100%

Required Readings for the Course:


Journal of Democracy 10.3 (1999) 3-17: and “Why Democratization Is Not The Same As Westernization: Democracy and Its Global Roots. The New Republic Online. Post date: 09.25.03  Issue Date: 10.06.03 (posted together on NEU Blackboard)

Recommended reading of value to the course:


The format for the course will include lectures, class critiques of the assigned readings, guest speakers (as available) and selected videos on concentrated areas of development.

Class Schedule

1. Democratization and Its Meaning
   Introduction to Course
   Overview of Approaches
   Review of Requirements
   Introduction to Subject
   Indices of Democratic Attainment

   Required:
   Amartya Sen, “Democracy as a Universal Value”

   Recommended:
   David Held, Models of Democracy
   James Madison, Alexander Hamilton and John Jay, The Federalist Papers
   Benjamin Barber, Strong Democracy
   Robert A. Dahl, On Democracy
   Robert A. Dahl, Preface to Democratic Theory
   Robert A. Dahl, Democracy and Its Discontents
   Carol Pateman, Participation and Democratic Theory
   David Held, Models of Democracy
   Larry Diamond and Marc F. Plattner, eds., The Global Resurgence of Democracy
   Andreas Schedler, Larry Diamond, and Marc F. Plattner, eds., The Self-Restraining State: Power and Accountability in New Democracies
   Alex Inkeles, ed., On Measuring Democracy: Its Consequences And Concomitants
   Freedom House Surveys
2. **The Democratic Ideal vs. Actual: Conditions that Favor Democracy**

   **Required:**
   Robert A. Dahl, *On Democracy*

   **Recommended:**
   Larry Diamond, *Developing Democracy: Toward Consolidation*.
   Georg Sorensen, *Democracy and Democratization*.

3. **Building and Sustaining Democracy**

   **Begin Book Reports by students.**

   **Required:**
   Laurence LeDuc, Richard G. Niemi and Pippa Norris, eds., *Comparing Democracies 3*, pp. 1-140

   **Recommended:**
   Samuel Huntington, *The Third Wave: Democratization in the Late Twentieth Century*
   G. Bingham Powell, Jr., *Contemporary Democracies: Participation, Stability, and Violence*

4. **Public Opinion, Voting and Democracy**

   **Book Reports (continue)**

   **Required:**
   L. LeDuc, et. al., *Comparing Democracies 3*, pp. 141-241

   **Recommended:**
   Gabriel Almond and Sidney Verba, eds., *The Civic Culture Revisited*
   Seymour Martin Lipset, *Political Man*
   Barrington Moore, *The Social Origins of Dictatorship and
Democracy
Larry Diamond, Consolidating the Third Wave
Democracies
Samuel Huntington, Political Order in Changing Societies
Samuel Huntington, The Clash of Civilizations
Charles Tilly, Democracy
Arend Lijphart, Democracies: Patterns of Majoritarian and Consensual Government in Twenty-One Countries

5. Authoritarian State Systems

Book Reports (continue)

Required:
Paul Brooker, Non-Democratic Regimes, pp. 1-80

Recommended:
Christopher Lapham, Third World Politics: An Introduction
Peter Calvert and Susan Calvert, Politics and Society in the Third World
James C. Scott, Domination and the Arts of Resistance: Hidden Transcripts
Paul Brooker, Non-Democratic Regimes: Theory, Government and Politics

Recommended:
Charles Tilly, Trust and Rule
Wendy Griswold, Cultures and Societies in a Changing World
John Ehrenberg, Civil Society: The Critical History of An Idea
Brian O’Connell, Civil Society: The Underpinnings of American Democracy
Larry Diamond, ed., Political Culture and Democracy in Developing Countries
Robert D. Putnam, Bowling Alone
Nancy L. Rosenblum and Robert C. Post, eds., Civil Society and Government
Lester M. Salamon, S. Wojciech and Associates, Global Civil Society: Dimensions of the Nonprofit Sector (Vol. I and II)
Larry Diamond and Marc F. Plattner, eds., Nationalism, Ethnic Conflict and Democracy
Henry Milner, Civic Literacy: How Informed Citizens Make Democracy Work
6. The Totalitarian State: Assumptions and Beginnings

Book Reports (continue)

Required:
Paul Brooker, Non-Democratic Regimes, pp. 81-187

Recommended:
Richard J. Evans, The Coming of the Third Reich
Zevedic Barabro, Democracy and Dictatorship
Carl J. Friedrich and Zbigniew K. Brzezinski, Totalitarian Dictatorship and Autocracy
F. L. Carsen, The Rise of Fascism
Robert A. Dahl, Economic Democracy
Ralf Dahrendorf, Class and Class Conflict in Industrial Society
Robert A. Dahl, Economic Democracy
Democracy Sourcebook: Miller, 133-141: Horowitz, 147-152
Dietrich Rueschemeyer, Evelyne Huber Stehens and John P. Stephens, Capitalist Development and Democracy
Adam Przeworski, Democracy and the Market: Political and Economic Reforms in Eastern Europe and Latin America
Stephan Haggard and Robert R. Kaufman, The Political Economy of Democratic Institutions
Stephen Haggard, Pathways From the Periphery: The Politics of Growth in the Newly Industrialized Nations
Larry Diamond and Marc F. Plattner, eds., Economic Reform and Democracy
Michael Bratton, Robert Mattes and E. Gyimah-Boadi, Public Opinion, Democracy, and Market Reform in Africa
7. The Totalitarian State: Operations and Decline

Book Reports (continue)

Required:
Paul Brooker, Non-Democratic Regimes, pp. 81-187

Recommended:
Michael Burleigh, The Third Reich: A New History
Joseph W. Bendersky, A Concise History of Nazi Germany
Robert O. Paxson, The Anatomy of Fascism
John W. Dower, Embracing Defeat: Japan in the Wake of World War II
Larry Diamond and Marc F. Plattner, eds., Civil Military Relations and Democracy
Juan E. Méndez, Paulo Sérgio Pinheiro, and Guillermo O'Donnell, eds., The (Un)Rule of Law and the Underprivileged in Latin America

8. An Overview of the Totalitarian State: Nazi Germany in Action

Book Reports (if time permits)

Required:
Paul Brooker, Non-Democratic Regimes, pp. 188-260

Video of documentary “Mein Kampf”

Recommended:
Richard Katz and William Crotty, eds., Handbook of Political Parties
David Throup and Charles Hornsby, Multi-Party Politics in Kenya
Richard S. Katz, A Theory of Parties and Electoral Systems
Mary Ellen Fischer, ed., Establishing Democracies
Scott Mainwaring and Timothy R. Scully, eds., Building Democratic Institutions: Party Systems in Latin America

9. The Alternatives to Democracy: Totalitarian Systems (Conclusion)

Mid-Term Quiz
Required:
Review all class materials to date

Recommended:
Hannah Arendt, *The Origins of Totalitarianism*
Richard Overy, *The Dictators: Hitler’s Germany and Stalin’s Russia*
Robert Gellately, *Lenin, Stalin and Hitler: The Age of Social Catastrophe*
Giles MacDonough, *After The Reich: The Brutal History of the Allied Occupation*
Mark Mazower, *Hitler’s Empire: How the Nazis Rules Europe*
Richard J. Evans, *The Third Reich At War*
Wendy Z. Goldman, *Terror and Democracy in the Age of Stalin: The Social Dynamics of Repression*

10. Religion, Secularization and the State

Book Reports (continue)

Required:
Pippa Norris and Ronald Inglehart, *Sacred and Secular: Religion and Politics Worldwide*, pp. 3-158

Recommended:
Jennifer I. McCoy and David J. Meyers, eds., *The Unraveling of Representative Democracy in Venezuela*
Andreas Schedler, ed., *Electoral Authoritarianism*
Stephen Kinzer, *Overthrow: America’s Century of Regime Change From Hawaii to Iraq*
Theda Skocpol, ed., *Democracy, Revolution, and History*
Barrington Moore, *The Social Origins of Dictatorship and Democracy*
Ronald Wintob, *The Political Economy of Dictatorships*

11. Secularization, Religion and Their Consequences

Book Reports (continue)

Required:
P. Norris and R. Inglehart, pp. 159-242

Recommended:
Robert Fatton, Jr., *Haiti’s Predatory Republic: The Unending Transition to Democracy*
Alex Dupuy, *Haiti in the Third World Economy: Class, Race and Underdevelopment Since 1700*
Paul Collier, *Wars, Guns, and Votes: Democracy in*
Dangerous Places
Joel Migdal, Strong Societies and Weak States

12. Militarism, Empire and the Democratic State

Book Reports (continue)

Required:
Chalmers Johnson, The Sorrows of Empire: Militarism, Secrecy and the End of the Republic, pp. 1-151

Recommended:
Michael Bratton and Nicholas van de Walle, Democratic Experiments in Africa: Regime Transition in Comparative Perspective

13. American Democracy in the 21st Century

Required:
Chalmers Johnson, The Sorrows of Empire, pp. 152-312

Recommended:
Thomas Carothers, Aiding Democracy Abroad: The Learning Curve
Lisa Anderson, ed., Transitions to Democracy
Larry Diamond, Marc F. Plattner, Yun-han Chu and Hung-Mao Tien, eds., Consolidating The Third Wave Democracies: Regional Challenges
Ted Robert Gurr and Barbara Harff, Ethnic Conflicts in World Politics
Juan J. Linz and Alfred Stepan, eds., Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe
Joel S. Migdal, Peasants, Politics and Revolution: Pressures Toward Political and Social Change in the Third World
John L. Esposito and John O. Voll, Islam and Democracy
Penny Leroux, People of God
Guillermo O’Donnell and Philippe C. Schmitter, Transitions From Authoritarian Rule: Tentative Conclusions about Uncertain Democracies
Juan J. Linz and Alfred Stepan, Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe
Samuel P. Huntington, Political Order in Changing Societies
14. **Last Class:**

*Overview of Course*

*Themes of Course*

*Preserving the Democratic Principle*

*Introduction to Final Exam*

**Required:**

Review course material

**Written Review of Report on Book due**

**Recommended:**

John Peeler, *Building Democracy in Latin America*

Frances Hagopian, ed., *Religious Pluralism, Democracy, and the Catholic Church in Latin America*

Cynthia McClintock, *Revolutionary Movements in Latin America*

Kees Koonings and Dirk Kruijt, eds., *Societies of Fear: The Legacy of Civil War, Violence and Terror in Latin America*

Howard J. Wiarda and Margaret Macleish Mott, *Catholic Roots and Democratic Flowers: Political Systems in Spain and Portugal*

Thomas W. Walker, ed., *Nicaragua Without Illusions: Regime Transition and Structural Adjustment in the 1990s*

15. **Final Exam**

The final exam covers all course materials.

**Note:** Changes and additions to the class schedule will be made in class. The discussions of the topics may carry over from one class to the next and are not tailored to a 2-hour class meeting time format.

**Final Exam**

The exam will take place in the class meeting room during the regular class hours. It will be an essay exam and include all materials covered in the course.

**Note:** Videos and other materials will be scheduled to be shown in class. These will be announced during the semester.

**Recommended Readings:**


